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|--|--|---|--|---|--|
| Substitute for Form<br>PTO-1390  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>030662-113                              |  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b>  |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>107508864</b> |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP03/04998  |  | INTERNATIONAL FILING DATE<br>18 April 2003 (18.04.2003) |  | PRIORITY DATE CLAIMED<br>19 April 2002 (19.04.2002)                 |  |
| TITLE OF INVENTION<br><br><b>OPTICAL COMPENSATORY SHEET COMPRISING POLYMER FILM</b>  |  |   |  |   |  |
| APPLICANT(S) FOR DO/EO/US<br>ITO, Yoji   |  |   |  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |   |  |   |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission to items concerning a filing under 35 U.S.C. 371.   |  |   |  |   |  |
| 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.  |  |   |  |   |  |
| 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below.                       |  |   |  |   |  |
| 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).  |  |   |  |   |  |
| 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |  |   |  |   |  |
| a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).  |  |   |  |   |  |
| b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.  |  |   |  |   |  |
| c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |   |  |   |  |
| 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))   |  |   |  |   |  |
| a. <input checked="" type="checkbox"/> is attached hereto.   |  |   |  |   |  |
| b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).   |  |   |  |   |  |
| 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |   |  |   |  |
| a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).   |  |   |  |   |  |
| b. <input type="checkbox"/> have been communicated by the International Bureau.  |  |   |  |   |  |
| c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.  |  |   |  |   |  |
| d. <input checked="" type="checkbox"/> have not been made and will not be made.  |  |   |  |   |  |
| 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |  |   |  |   |  |
| 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |   |  |   |  |
| 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).  |  |   |  |   |  |
| Items 11 to 21 below concern document(s) or information included:  |  |   |  |   |  |
| 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  |  |   |  |   |  |
| 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  |  |   |  |   |  |
| 13. <input type="checkbox"/> A FIRST preliminary amendment.  |  |   |  |   |  |
| 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.   |  |   |  |   |  |
| 15. <input type="checkbox"/> A substitute specification.   |  |   |  |   |  |
| 16. <input type="checkbox"/> A change of power of attorney and/or address letter.  |  |   |  |   |  |
| 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.   |  |   |  |   |  |
| 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).   |  |   |  |   |  |
| 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |   |  |   |  |
| 20. <input checked="" type="checkbox"/> Other items or information: <u>Application Data Sheet; General Authorization For Petitions For Extensions of Time and Payment of Fees; PCT/ISA/210; PCT/IPEA/416 and PCT/IPEA/409.</u> |  |   |  |   |  |
| 21. <input checked="" type="checkbox"/> Applicant(s) requests that the published application include the following assignment information: <u>Fuji Photo Film Co., Ltd., Minami-ashigara-shi, Kanagawa, Japan</u>              |  |   |  |   |  |

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|--|--|--|--|---|--|
| U.S. APPLICATION NO. <b>07508864</b><br><small>(If known, use 37 CFR 1.51)</small> |  | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP03/04998</b> |  | ATTORNEY'S DOCKET NUMBER<br><b>030662-113</b> |  |
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22. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. . . . . \$1,080.00 (1611)

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . . \$920.00 (1613)

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . . \$770.00 (1610)

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4). . . . . \$730.00 (1609)

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4). . . . . \$100.00 (1612)

**CALCULATIONS PTO USE ONLY**

  

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of **\$130.00 (1617)** for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). ☐ 20 ☐ 30

| CLAIMS   | NUMBER FILED | NUMBER EXTRA | RATE | \$                             |
|--|--------------|--------------|------|--------------------------------|
| Total Claims   | 22           | -20 =        | 2    | X \$18.00 (1615)               |
| Independent Claims   | 8            | -3 =         | 5    | X \$86.00 (1614)               |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |              |      | + \$290.00 (1616)              |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |              |      | <b>\$ 1,386.00</b>             |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |              |      | + \$ 0.00                      |
| <b>SUBTOTAL =</b>  |              |              |      | <b>\$ 1,386.00</b>             |
| Processing fee of <b>\$130.00 (1618)</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 |              |              |      | \$ 0.00                        |
| <b>TOTAL NATIONAL FEE =</b>  |              |              |      | <b>\$ 1,386.00</b>             |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 (8021)</b> per property +                               |              |              |      | \$ 40.00                       |
| <b>TOTAL FEES ENCLOSED =</b>   |              |              |      | <b>\$ 1,426.00</b>             |
|  |              |              |      | <b>Amount to be refunded :</b> |
|  |              |              |      | <b>charged :</b>               |

  

a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

d. ☒ Charge \$ 1,426.00 to credit card. Form PTO-2038 is attached.

  

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

  

SEND ALL CORRESPONDENCE TO:

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*Platon N. Mandros*  
 SIGNATURE

Platon N. Mandros  
 NAME

22,124      September 24, 2004  
 REGISTRATION NO.      DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Yoji Ito

Group Art Unit:

Application No.:

Examiner:

Filing Date: September 24, 2004

Confirmation No.:

Title: OPTICAL COMPENSATORY SHEET COMPRISING POLYMER FILM

GENERAL AUTHORIZATION FOR PETITIONS  
FOR EXTENSIONS OF TIME AND PAYMENT OF FEES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.136(a)(3), the U.S. Patent and Trademark Office is hereby provided with a general authorization to treat any concurrent or future reply requiring a petition for an extension of time for its timely submission as containing a request therefor for the appropriate length of time.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. § 1.17 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Date: September 24, 2004